

# **Exhibit 1**

Resume of Ezra D. Hausman, Ph.D.

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**EZRA HAUSMAN CONSULTING****Ezra D. Hausman, Ph.D.**

I am an independent consultant in energy and environmental economics.

I have worked for over twenty years as an electricity market expert with a focus on market design and market restructuring, environmental regulation in electricity markets, and pricing of energy, capacity, transmission, losses and other electricity-related services. I have performed market analysis, provided expert testimony, led workshops and working groups, made presentations and participated on panels, and provided other support to clients in a number of areas, including:

- Economic analysis, price forecasting, and asset valuation in electricity markets
- Dispatch and planning model analyses, and review of modeling studies
- Electricity and generating capacity market design and analysis
- Energy efficiency program and cost/benefit analysis
- Integrated Resource Planning and portfolio analysis
- Economic analysis of environmental and other regulations, including regulation of greenhouse gas emissions, in electricity markets
- Quantification, regulation and mitigation of greenhouse gas emissions associated with the supply and demand sides of the U.S. electricity sector
- Quantification of the economic and environmental benefits of displaced emissions associated with energy efficiency and renewable energy initiatives
- Expert representation and participation in stakeholder processes
- Clean Air Act determinations and enforcement.

I have prepared reports and offered other expert services on these and other related topics for clients including federal and state agencies; offices of consumer advocate; legislative bodies; cities and towns; non-governmental organizations; foundations; industry associations; and resource developers.

I previously served as Vice President and Chief Operating Officer of Synapse Energy Economics, Inc. of Cambridge, Massachusetts. In addition to my consulting portfolio, this management role entailed responsibility for day-to-day operations of the company including overseeing finance, HR, communications & marketing, quality assurance, client service, and professional development of staff. I had overall responsibility for ensuring that project managers and project teams had the tools, information, and training they needed to successfully serve client's needs and to produce high-quality deliverables on time and on budget. I was also a resource available to any of our clients to address any issues of customer service, quality, or any other issues.

I hold a Ph.D. in atmospheric science from Harvard University, an S.M. in applied physics from Harvard University, an M.S. in water resource engineering from Tufts University, and a B.A. in psychology from Wesleyan University.

## PROFESSIONAL EXPERIENCE

**Ezra Hausman Consulting**, Newton, MA. President, March 2014 – Present.

I provide research, analysis, expert testimony, and policy support services in regulatory, litigation, and stakeholder processes covering a wide range of electric sector and electricity market issues. The focus of my consulting work includes:

- Interaction of air quality and environmental regulations with electricity markets
- Analysis and implementation of the Clean Power Plan and other greenhouse gas rules
- Clean Air Act enforcement support
- Long-term electric power system planning and market design
- Energy efficiency and renewable energy programs and policies
- Avoided emissions analysis
- Regulation and mitigation of greenhouse gas emissions
- Consumer and environmental protection
- Efficient pricing of generating and transmission capacity
- Market power and market concentration analysis in electricity markets
- Economic analysis of electricity industry regulation and restructuring

**Synapse Energy Economics Inc.**, Cambridge, MA.

Chief Operating Officer, March 2011 – February 2014;

Vice President, July 2009 – February 2014;

Senior Associate, 2005-2009.

- Conducted research, wrote reports, and presented expert testimony pertaining to consumer, environmental, and public policy implications of electricity industry regulation. Provided expert support and representation in planning, greenhouse gas mitigation, and other stakeholder processes.
- As Vice President and Chief Operating Officer, I was also responsible for day-to-day operations of the company, quality assurance, client service, and professional development of staff.

**Charles River Associates (CRA)**, Cambridge, MA. Senior Associate, 2004-2005

*CRA acquired Tabors Caramanis & Associates in October, 2004.*

**Tabors Caramanis & Associates**, Cambridge, MA. Senior Associate, 1998-2004

As a member of the modeling group, developed and maintained dispatch modeling capability in support of electricity market consulting practice.

Performed modeling and analysis of electricity markets, generation and transmission systems. Projects included:

- Several market transition cost-benefit studies for development of Locational Marginal Price (LMP) based markets in US electricity markets
- Long-term market forecasting studies for valuation of generation and transmission assets,
- Valuation of financial instruments relating to transmission system congestion and losses
- Modeling and analysis of hydrologically and electrically interconnected hydropower system operations
- Natural gas market analysis and price forecasting studies
- Co-developed an innovative approach to hedging financial risk associated with transmission system losses of electricity
- Designed, developed and ran training seminars using a computer-based electricity market simulation game, to help familiarize market participants and students in the operation of LMP-based electricity markets.
- Developed and implemented analytical tools for assessment of market concentration in interconnected electricity markets, based on the “delivered price test” for assessing market accessibility in such a network
- Performed regional market power and market power mitigation studies
- Performed transmission feasibility studies for proposed new generation and transmission projects in various locations in the US
- Provided analytical support for expert testimony in a variety of regulatory and litigation proceedings, including breach of contract, bankruptcy, and antitrust cases, among others.

**Global Risk Prediction Network, Inc.,** Greenland, NH. Vice President, 1997-1998

Developed private sector applications of climate forecast science in partnership with researchers at Columbia University. Specific projects included a statistical assessment of grain yield predictability in several crop regions around the world based on global climate indicators (Principal Investigator); a statistical assessment of road salt demand predictability in the United States based on global climate indicators (Principal Investigator); a preliminary design of a climate and climate forecast information website tailored to the interests of the business community; and the development of client base.

**Hub Data, Inc.,** Cambridge, MA. Financial Software Consultant, 1986-1987, 1993-1997

Responsible for design, implementation and support of analytic and communications modules for bond portfolio management software; and developed software tools such as dynamic data compression technique to facilitate product delivery, Windows interface for securities data products.

**Abt Associates, Inc.,** Cambridge, MA. Environmental Policy Analyst, 1990-1991

Quantitative risk analysis to support federal environmental policy-making. Specific areas of research included risk assessment for federal regulations concerning sewage sludge disposal

and pesticide use; statistical alternatives to Most-Exposed-Individual risk assessment paradigm; and research on non-point sources of water pollution.

**Massachusetts Water Resources Authority**, Charlestown, MA. Analyst, 1988-1990

Applied and evaluated demand forecasting techniques for the Eastern Massachusetts service area. Assessed applicability of various techniques to the system and to regional planning needs; and assessed yield/reliability relationship for the eastern Massachusetts water supply system, based on Monte-Carlo analysis of historical hydrology.

**Somerville High School**, Somerville, MA. Math Teacher, 1986-1987

Courses included trigonometry, computer programming, and basic math.

## EDUCATION

**Ph.D.**, Earth and Planetary Sciences. Harvard University, Cambridge, MA, 1997

**S.M.**, Applied Physics. Harvard University, Cambridge, MA, 1993

**M.S.**, Civil Engineering. Tufts University, Medford, MA, 1990

**B.A.**, Wesleyan University, Psychology. Middletown, CT, 1985

## FELLOWSHIPS, AWARDS AND AFFILIATIONS

**UCAR Visiting Scientist Postdoctoral Fellowship**, 1997

**Postdoctoral Research Fellowship**, Harvard University, 1997

**Certificate of Distinction in Teaching**, Harvard University, 1997

**Graduate Research Fellowship**, Harvard University, 1991-1997

**Invited Participant**, UCAR Global Change Institute, 1993

**House Tutor**, Leverett House, Harvard University, 1991-1993

**Graduate Research Fellowship**, Massachusetts Water Resources Authority, 1989-1990

### **Teaching Fellowships:**

**Harvard University:** *Principles of Measurement and Modeling in Atmospheric Chemistry; Hydrology; Introduction to Environmental Science and Public Policy; The Atmosphere.*

**Wesleyan University:** *Introduction to Computer Programming; Psychological Statistics; Playwriting and Production.*

### **Community Service**

*Vice President of Finance, Congregation Dorshei Tzedek, 2018 - Ongoing*  
*Academic Mentor and Athletic Coach, SquashBusters Boston, 2014 - Ongoing*  
*Judge, Cleantech Open innovation competitions, 2015-2016*  
*President, Burr Elementary School Parent Teacher Organization, 2005-2007*

### **EXPERT TESTIMONY AND SERVICES**

#### **Virginia State Corporation Commission (Case No. PUR-2018-00065) – 2018-Ongoing**

Expert witness on behalf of the Sierra Club in Dominion Power IRP proceeding.

#### **New Jersey Division of Rate Counsel – 2016-Ongoing**

General policy and stakeholder support on matters related to energy efficiency, renewable energy, and electrification of transportation in New Jersey.

#### **New Jersey Board of Public Utilities – 2014-Ongoing**

Expert witness on behalf of the New Jersey Division of Rate Counsel, reviewing and providing testimony on cost effectiveness and program design of various New Jersey gas utility energy efficiency programs.

#### **Missouri Public Service Commission (Case No. EO-2018-0038) – 2018**

Expert services in support of Sierra Club's participation in integrated resource planning process.

#### **Florida Public Service Commission (Docket No. 20170225-EI) – 2017-2018**

Expert witness on behalf of the Sierra Club in FPL Determination of Need proceeding.

#### **North Carolina Utilities Commission (Docket No. E-7, SUB 1146) – 2017-2018**

Expert witness on behalf of the Sierra Club in Duke Energy Carolinas rate case.

#### **North Carolina Utilities Commission (Docket No. E-2, SUB 1142) – 2017**

Expert witness on behalf of the Sierra Club in Duke Energy Progress rate case.

#### **Idaho Public Utilities Commission (Case No. AVU-E-17-01) – 2017**

Expert witness on behalf of the Sierra Club in Avista Corporation rate case.

#### **Iowa Utilities Board (Docket No. RPU-2017-0002) – 2017**

Expert witness on behalf of the Sierra Club for Interstate Power and Light petition for ratemaking principles for proposed 500 MW wind project.

#### **Washington Utilities and Transportation Commission (Dockets UE-170033 and UG-170034) – 2017**

Expert witness on behalf of the Sierra Club in Puget Sound Energy (PSE) rate case.

#### **Clean Power Plan Modeling in PJM and MISO – 2016-2017**

Participation on behalf of the Sustainable FERC Project in ISO initiative to model scenarios for state compliance with federal greenhouse gas mitigation rules.

#### **California ISO/PacifiCorp Market Integration – 2015-2017**

Technical support to Sierra Club in stakeholder review and participation in all relevant proceedings in California.

**United States Department of Justice – US District Court Dallas, TX Division (U.S. vs. Luminant Generation Company, LLC, and Big Brown Power Company, LLC) – Ongoing**

Expert witness on behalf of the United States Department of Justice on clean air act enforcement case.

**United States Department of Justice – US District Court for the Eastern District of Missouri (Civil Action No. 4:11-CV-00077) – 2013-Ongoing**

Expert witness on behalf of the United States Department of Justice on successful prosecution of clean air act case.

**Missouri Public Service Commission (Case No. EO-2015-0084) – 2014-2015**

Expert services in support of Sierra Club's participation in integrated resource planning process.

**Missouri Public Service Commission (File No. ER-2014-0258) – 2014-2015**

Expert witness on behalf of the Sierra Club in Ameren Missouri rate case.

**Arizona Corporation Commission (Docket No. E-01345A-11-0224) – 2014**

Expert witness on behalf of the Sierra Club regarding Arizona Public Service petition for rate treatment for acquisition of an additional ownership share of the Four Corners generating units.

**Missouri Public Service Commission (Docket No. ET-2014-0085) – 2013**

Testimony on behalf of the Missouri Solar Energy Industries Association regarding Union Electric (d/b/a Ameren Missouri) motion to suspend payment of solar rebates.

**Missouri Public Service Commission (Docket No. ET-2014-0059 and ET-2014-0071) – 2013**

Testimony on behalf of the Missouri Solar Energy Industries Association regarding Kansas City Power and Light Company's motions to suspend payment of solar rebates.

**Eastern Interconnect Planning Collaborative (EIPC) – 2012-2013**

Expert support on behalf of coalition of NGO stakeholders in transmission and resource planning process, including development and review of modeling assumptions and interim results, and development of comments.

**Puget Sound Energy (PSE) – 2012-2013**

Expert participant in PSE's 2013 IRP stakeholder process on behalf of the Sierra Club.

**Washington Utilities and Transportation Commission (Docket Nos. UE-111048 and UG-111049) – 2011**

Testimony on behalf of the Sierra Club regarding the cost of operating the Colstrip power plant and other power procurement issues.

**Kansas Corporation Commission (Docket No. 11-KCPE-581-PRE) - 2011**

Presented written and live testimony on behalf of the Sierra Club regarding Kansas City Power and Light request for predetermination of ratemaking principles.

**Vermont Department of Public Service - 2011**

Provided scenario analysis of the costs and benefits of various electric energy resource scenarios in support of the state Comprehensive Energy Plan.

**Massachusetts Department of Energy Resources – 2009-2011**

Served as expert analyst and modeling coordinator for analysis related to implementation of the Massachusetts Global Warming Solutions Act.

**Iowa Office of Consumer Advocate – 2010-2011**

Assisted Consumer Advocate in evaluating a proposed power purchase agreement for the output of the Duane Arnold nuclear power station.

**Missouri Public Service Commission (Docket No. EW-2010-0187) – 2010**

Expert participant on behalf of the Sierra Club in stakeholder process to develop a “demand side investment mechanism” in Missouri.

**Louisiana Public Service Commission (Docket No. R-28271 Subdocket B) – 2009-2010**

Expert participant on behalf of the Sierra Club in Renewable Portfolio Standard Task Force considering RPS for Louisiana.

**Joint Fiscal Committee of the Vermont Legislature – 2008-2010**

Serving as lead expert advising the Legislature on economic issues related to the possible recertification of the Vermont Yankee nuclear power plant.

**Town of Littleton, NH – 2006-2010**

Serving as expert witness on the value of the Moore hydroelectric facility.

**Nevada Public Service Commission (Docket No. 08-05014) – August 2008**

Presented prefiled and live testimony on behalf of Nevadans for Clean Affordable Reliable Energy regarding the proposed Ely Energy Center and resource planning practices in Nevada.

**Mississippi Public Service Commission (Docket No. 2008-AD-158) – July 2008**

Presented written and live testimony on behalf of the Sierra Club regarding the resource plans filed by Entergy Mississippi and Mississippi Power Company.

**Kansas House of Representatives - Committee on Energy and Utilities – February 2008**

Presented testimony on behalf of the Climate and Energy Project of the Land Institute of Kansas on a proposed bill regarding permitting of power plants. Focus was on the risks and costs associated with new coal plants and on their contribute to global climate change.

**Vermont Public Service Board (Docket No. 7250) – 2006-2008**

Prepared report and testimony in support of the application of Deerfield Wind, LLC. For a Certificate of Public Good for a proposed wind power facility.

**Iowa Utilities Board (Docket No. GCU-07-1) – October, 2007 – January 2008**

Presented wrtten and live testimony on behalf of the Iowa Office of Consumer Advocate regarding the science of global climate change and the contribution of new coal plants to atmospheric CO<sub>2</sub>.

**Nevada Public Service Commission (Docket No. 07-06049) – October 2007**

Presented prefiled direct testimony on behalf of Nevadans for Clean Affordable Reliable Energy regarding treatment of carbon emissions costs and coal plant capital costs in utility resource planning.

**Massachusetts General Court, Joint Committee on Economic Development and Emerging Technologies – July 2007**

Presented written and live testimony on climate change science and the potential benefits of a revenue-neutral carbon tax in Massachusetts.

**Town of Rockingham, VT – 2006-2007**

Served as expert witness on the value of the Bellows Falls hydroelectric facility.

**South Dakota Public Utilities Commission (Case No EL05-22) – June 2006****Minnesota Public Utilities Commission (Docket TR-05-1275) – December 2006**

Submitted prefiled and live testimony on the contribution of the proposed Big Stone II coal-fired generator to atmospheric CO<sub>2</sub>, global climate change and the environment of South Dakota and Minnesota, respectively.

**Arkansas Public Service Commission (Docket No. 06-070-U) – October 2006**

Submitted prefiled direct testimony on inclusion of new wind and gas-fired generation resources in utility rate base.

**Federal Energy Regulatory Commission (Docket Nos. ER055-1410-000 and EL05-148-000) – May-Sept 2006**

- Participant in settlement hearings on proposed capacity market structure (the Reliability Pricing Model, or RPM) on behalf of State Consumer Advocates in Pennsylvania, Ohio and the District of Columbia
- Invited participant on technical conference panel on PJM's proposed Variable Resource Requirement (VRR) curve
- Filed Pre- and post-conference comments and affidavits with FERC
- Participated in numerous training and design conferences at PJM on RPM implementation.

**Illinois Pollution Control Board (Docket No. R2006-025) – June-Aug 2006**

Prefile and live testimony presented on behalf of the Illinois EPA regarding the costs and benefits of proposed mercury emissions rule for Illinois power plants.

**Long Island Sound LNG Task Force – January 2006**

Presentation of study on the need for and alternatives to the proposed Broadwater LNG storage and regasification facility in Long Island Sound.

**Iowa Utilities Board (Docket No. SPU-05-15) – November 2005**

Presented written and live testimony on whether Interstate Power and Light's should be permitted to sell the Duane Arnold Energy Center nuclear facility to FPLE Duane Arnold, Inc., a subsidiary of Florida Power and Light.

## PUBLICATIONS AND REPORTS

Hausman, E., *The Worst of Both Worlds: Why the Ohio Legislature's OVEC Bailout Bill would Harm Consumers, Impede Competition, Increase Pollution, and Impair the Health and Welfare of Ohioans for Decades*. White paper produced on behalf of The Sierra Club, June 2017.

Hausman, E., *Risks and Opportunities for PacifiCorp - State Level Findings: Utah*, Produced on behalf of the Sierra Club, October 2014.

Hausman, E., *Risks and Opportunities for PacifiCorp - State Level Findings: Oregon*, Produced on behalf of the Sierra Club, October 2014.

Hausman, E., *Risks and Opportunities for PacifiCorp in a Carbon Constrained Economy*, Produced on behalf of the Sierra Club, October 2014.

Luckow, P., E. Stanton, B. Biewald, J. Fisher, F. Ackerman, E. Hausman, 2013 Carbon Dioxide Price Forecast, Synapse Energy Economics, November 2013.

Stanton, E., T. Comings, K. Takahashi, P. Knight, T. Vitolo, E. Hausman, *Economic Impacts of the NRDC Carbon Standard: Background Report prepared for the Natural Resources Defense Council*, Synapse Energy Economics for NRDC, June 2013

Comings T., P. Knight, E. Hausman, *Midwest Generation's Illinois Coal Plants: Too Expensive to Compete? (Report Update)* Synapse Energy Economics for Sierra Club, April 2013

Stanton E., F. Ackerman, T. Comings, P. Knight, T. Vitolo, E. Hausman, *Will LNG Exports Benefit the United States Economy?* Synapse Energy Economics for Sierra Club, January 2013

Chang M., D. White, E. Hausman, *Risks to Ratepayers: An Examination of the Proposed William States Lee III Nuclear Generation Station, and the Implications of "Early Cost Recovery" Legislation*, Synapse Energy Economics for Consumers Against Rate Hikes, December 2012

Wilson R., P. Luckow, B. Biewald, F. Ackerman, and E.D. Hausman, *2012 Carbon Dioxide Price Forecast*, Synapse Energy Economics, October 2012.

Fagan B., M. Chang, P. Knight, M. Schultz, T. Comings, E.D. Hausman, and R. Wilson, *The Potential Rate Effects of Wind Energy and Transmission in the Midwest ISO Region*. Synapse Energy Economics for Energy Future Coalition, May 2012.

Hausman, E.D., T. Comings, *"Midwest Generation's Illinois Coal Plants: Too Expensive to Compete?"* Synapse Energy Economics for Sierra Club, April 2012.

Hausman, E.D., T. Comings, and G. Keith, *Maximizing Benefits: Recommendations for Meeting Long-Term Demand for Standard Offer Service in Maryland*. Synapse Energy Economics for Sierra Club, January 2012.

Keith G., B. Biewald, E.D. Hausman, K. Takahashi, T. Vitolo, T. Comings, and P. Knight, *Toward a Sustainable Future for the U.S. Power Sector: Beyond Business as Usual 2011* Synapse Energy Economics for Civil Society Institute, November 2011.

Chang M., D. White, E.D. Hausman, N. Hughes, and B. Biewald, *Big Risks, Better Alternatives: An Examination of Two Nuclear Energy Projects in the U.S.* Synapse Energy Economics for Union of Concerned Scientists, October 2011.

Hausman E.D., T. Comings, K. Takahashi, R. Wilson, and W. Steinhurst, *Electricity Scenario Analysis for the Vermont Comprehensive Energy Plan 2011.* Synapse Energy Economics for Vermont Department of Public Service, September 2011.

Wittenstein M., E.D. Hausman, *Incenting the Old, Preventing the New: Flaws in Capacity Market Design, and Recommendations for Improvement.* Synapse Energy Economics for American Public Power Association, June 2011.

Johnston L., E.D. Hausman, B. Biewald, R. Wilson, and D. White. *2011 Carbon Dioxide Price Forecast.* Synapse Energy Economics White Paper, February 2011.

Hausman E.D., V. Sabodash, N. Hughes, and J. I. Fisher, *Economic Impact Analysis of New Mexico's Greenhouse Gas Emissions Rule.* Synapse Energy Economics for New Energy Economy, February 2011.

Hausman E.D., J. Fisher, L. Mancinelli, and B. Biewald. *Productive and Unproductive Costs of CO<sub>2</sub> Cap-and-Trade: Impacts on Electricity Consumers and Producers.* Synapse Energy Economics for National Association of Regulatory Utility Commissioners, National Association of State Utility Consumer Advocates, National Rural Electric Cooperative Association, and American Public Power Association, July 2009.

Peterson P., E. Hausman, R. Fagan, and V. Sabodash, *Report to the Ohio Office of Consumer Counsel, on the value of continued participation in RTOs. Filed under Ohio PUC Case No. 09-90-EL-COI,* May 2009.

Schlissel D., L. Johnston, B. Biewald, D. White, E. Hausman, C. James, and J. Fisher, *Synapse 2008 CO<sub>2</sub> Price Forecasts.* July 2008.

Hausman E.D., J. Fisher and B. Biewald, *Analysis of Indirect Emissions Benefits of Wind, Landfill Gas, and Municipal Solid Waste Generation.* Synapse Energy Economics Report to the Air Pollution Prevention and Control Division, National Risk Management Research Laboratory, U.S. Environmental Protection Agency, July 2008.

Hausman E.D. and C. James, *Cap and Trade CO<sub>2</sub> Regulation: Efficient Mitigation or a Give-away?* Synapse Energy Economics presentation to the ELCON Spring Workshop, June 2008.

Hausman E.D., R. Hornby and A. Smith, *Bilateral Contracting in Deregulated Electricity Markets.* Synapse Energy Economics for the American Public Power Association, April 2008.

Hausman E.D., R. Fagan, D. White, K. Takahashi and A. Napoleon, *LMP Electricity Markets: Market Operations, Market Power and Value for Consumers.* Synapse Energy Economics for the American Public Power Association's Electricity Market Reform Initiative (EMRI) symposium, "Assessing Restructured Electricity Markets" in Washington, DC, February 2007.

Hausman E.D. and K. Takahashi, *The Proposed Broadwater LNG Import Terminal Response to Draft Environmental Impact Statement and Update of Synapse Analysis*. Synapse Energy Economics for the Connecticut Fund for the Environment and Save The Sound, January 2007.

Hausman E.D., K. Takahashi, D. Schlissel and B. Biewald, *The Proposed Broadwater LNG Import Terminal: An Analysis and Assessment of Alternatives*. Synapse Energy Economics for the Connecticut Fund for the Environment and Save The Sound, March 2006.

Hausman E.D., P. Peterson, D. White and B. Biewald, *RPM 2006: Windfall Profits for Existing Base Load Units in PJM: An Update of Two Case Studies*. Synapse Energy Economics for the Pennsylvania Office of Consumer Advocate and the Illinois Citizens Utility Board, February 2006.

Hausman E.D., K. Takahashi, and B. Biewald, *The Glebe Mountain Wind Energy Project: Assessment of Project Benefits for Vermont and the New England Region*. Synapse Energy Economics for Glebe Mountain Wind Energy, LLC., February 2006.

Hausman E.D., K. Takahashi, and B. Biewald, *The Deerfield Wind Project: Assessment of the Need for Power and the Economic and Environmental Attributes of the Project*. Synapse Energy Economics for Deerfield Wind, LLC., January 2006.

Hausman E.D., P. Peterson, D. White and B. Biewald, *An RPM Case Study: Higher Costs for Consumers, Windfall Profits for Exelon*. Synapse Energy Economics for the Illinois Citizens Utility Board, October 2005.

Hausman E.D. and G. Keith, *Calculating Displaced Emissions from Energy Efficiency and Renewable Energy Initiatives*. Synapse Energy Economics for EPA website 2005

Rudkevich A., E.D. Hausman, R.D. Tabors, J. Bagnal and C Kopel, *Loss Hedging Rights: A Final Piece in the LMP Puzzle*. Hawaii International Conference on System Sciences, Hawaii, January, 2005 (accepted).

Hausman E.D. and R.D. Tabors, *The Role of Demand Underscheduling in the California Energy Crisis*. Hawaii International Conference on System Sciences, Hawaii, January 2004.

Hausman E.D. and M.B. McElroy, *The reorganization of the global carbon cycle at the last glacial termination*. Global Biogeochemical Cycles, 13(2), 371-381, 1999.

Norton F.L., E.D. Hausman and M.B. McElroy, *Hydrospheric transports, the oxygen isotope record, and tropical sea surface temperatures during the last glacial maximum*. Paleoceanography, 12, 15-22, 1997.

Hausman E.D. and M.B. McElroy, *Variations in the oceanic carbon cycle over glacial transitions: a time-dependent box model simulation*. Presented at the spring meeting of the American Geophysical Union, San Francisco, 1996.

## PRESENTATIONS AND WORKSHOPS

**American Public Power Association:** Invited expert participant in APPA's roundtable discussion of the current state of the RTO-operated electricity markets. October 2013.

**California Long-Term Resource Adequacy Summit** (Sponsored by the California ISO and the California Public Utility Commission): Panelist on “Applying Alternative Models to the California Market Construct.” February 26, 2013.

**ELCON 2011 Fall Workshop:** “Do RTOs Need a Capacity Market?” October 2011.

**Harvard Electricity Policy Group:** Presentation on state action to ensure reliability in the face of capacity market failure. February 2011.

**NASUCA 2010 Annual Conference:** “Addressing Climate Change while Protecting Consumers.” November 2010.

**NASUCA Consumer Protection Committee:** Briefing on the Synapse report entitled, “Productive and Unproductive Costs of CO<sub>2</sub> Cap-and-Trade.” September 2009.

**NARUC 2009 Summer Meeting:** Invited speaker on topic: “Productive and Unproductive Costs of CO<sub>2</sub> Cap-and-Trade.” July, 2009.

**NASUCA 2008 Mid-Year Meeting:** Invited speaker on the topic, “Protecting Consumers in a Warming World, Part II: Deregulated Markets.” June 2008.

**Center for Climate Strategies:** Facilitator and expert analyst on state-level policy options for mitigating greenhouse gas emissions. Serve as facilitator/expert for the Electricity Supply (ES) and Residential, Commercial and Industrial (RCI) Policy Working Groups in the states of Colorado and South Carolina. 2007-2008.

**NASUCA 2007 Mid-Year Meeting:** Invited speaker on the topic, “Protecting Consumers in a Warming World” June 2007.

**ASHRAE Workshop on estimating greenhouse gas emissions from buildings in the design phase:** Participant expert on estimating displaced emissions associated with energy efficiency in building design. Also hired by ASHRAE to document and produce a report on the workshop. April, 2007.

**Assessing Restructured Electricity Markets An American Public Power Association Symposium:** Invited speaker on the history and effectiveness of Locational Marginal Pricing (LMP) in northeastern United States electricity markets, February, 2007.

**ASPO-USA 2006 National Conference:** Invited speaker and panelist on the future role of LNG in the U.S. natural gas market, October, 2006.

**Market Design Working Group:** Participant in FERC-sponsored settlement process for designing capacity market structure for PJM on behalf of coalition of state utility consumer advocates, July-August 2006.

**NASUCA 2006 Mid-Year Meeting:** Invited speaker on the topic, “How Can Consumer Advocates Deal with Soaring Energy Prices?” June 2006.

**Soundwaters Forum, Stamford, CT:** Participated in a debate on the need for proposed Broadwater LNG terminal in Long Island Sound, June 2006.

**Energy Modeling Forum:** Participant in coordinated academic exercise focused on modeling US and world natural gas markets, December 2004.

**Massachusetts Institute of Technology (MIT):** Guest lecturer in Technology and Policy Program on electricity market structure, the LMP pricing system and risk hedging with FTRs. 2002-2005.

**LMP: The Ultimate Hands-On Seminar.** Two-day seminar held at various sites to explore concepts of LMP pricing and congestion risk hedging, including lecture and market simulation exercises. Custom seminars held for FERC staff, ERCOT staff, and various industry groups. 2003-2004.

**Learning to Live with Locational Marginal Pricing: Fundamentals and Hands-On Simulation.** Day-long seminar including on-line mock electricity market and congestion rights auction, December 2002.

**LMP in California.** Led a series of seminars on the introduction of LMP in the California electricity market, including on-line market simulation exercise. 2002.

Resume updated February 2019

## **Exhibit 2**

### **DEC Response to Sierra Club Data Request 1-3**

**Duke Energy Carolinas' Response to  
Sierra Club's First Set of Data Request  
Pursuant to S.C. Code Ann. § 58-4-55  
Docket No. 2018-319-E  
Related to Coal Ash  
Date of Request: February 11, 2019  
Date Response Due: February 21, 2019**

☐ **CONFIDENTIAL**

☒ **NOT CONFIDENTIAL**

The attached response to First Data Request #1-3, was provided to me by the following individual: Trudy H. Morris, Project Manager II, Project Portfolio Manager, and was provided to Sierra Club under my supervision.

Heather Shirley Smith  
Deputy General Counsel  
Duke Energy Carolinas, LLC

**DUKE ENERGY CAROLINAS**

**Request:**

- 1-3 Please identify costs incurred to date by the Company in connection with the addition of dry fly ash handling systems at its coal-fired coal plants.

**Response:**

Please see attached responsive document

- DEC Sierra Club 1-3 Dry Fly Ash Costs.xlsx



DEC Sierra Club 1-3  
Dry Fly Ash Costs.xls

**Duke Energy Carolinas**  
**Sierra Club request 1-3**  
**Dry Fly Ash Handling Costs**

Site	Project Cost at 1/31/19	Notes
Belews Creek	\$ 8,790,101	
Cliffside	\$ 31,396,768	Unit 5
Cliffside	\$ 1,450,683	Unit 6
Marshall	\$ 5,381,258	

## **Exhibit 3**

### **DEC Response to Sierra Club Data Request 1-5**

**Duke Energy Carolinas' Response to  
Sierra Club's First Set of Data Request  
Pursuant to S.C. Code Ann. § 58-4-55  
Docket No. 2018-319-E  
Related to Coal Ash  
Date of Request: February 11, 2019  
Date Response Due: February 21, 2019**

☐ CONFIDENTIAL

☒ NOT CONFIDENTIAL

The attached response to First Data Request #1-5, was provided to me by the following individual: Trudy H. Morris, Project Manager II, Project Portfolio Manager, and was provided to Sierra Club under my supervision.

Heather Shirley Smith  
Deputy General Counsel  
Duke Energy Carolinas, LLC

**DUKE ENERGY CAROLINAS**

**Request:**

1-5 Please identify costs incurred to date by the Company in connection with the addition of dry bottom ash handling systems at its coal-fired coal plants.

**Response:**

Please see attached responsive document

- DEC Sierra Club 1-5 Dry Bottom Ash Costs.xlsx



DEC Sierra Club 1-5  
Dry Bottom Ash Cos

**Duke Energy Carolinas**  
**Sierra Club request 1-5**  
**Dry Bottom Ash Handling Costs**

Site	Project Cost at 1/31/19	Notes
Allen	\$ 60,358,185	
Belews Creek	\$ 71,504,744	
Cliffside	\$ 13,292,696	Unit 5
Marshall	\$ 79,299,065	

## **Exhibit 4**

DEC Response to Sierra Club Data Request 1-7

**Duke Energy Carolinas' Response to  
Sierra Club's First Set of Data Request  
Pursuant to S.C. Code Ann. § 58-4-55  
Docket No. 2018-319-E  
Related to Coal Ash  
Date of Request: February 11, 2019  
Date Response Due: February 21, 2019**

☐ CONFIDENTIAL

☒ NOT CONFIDENTIAL

The attached response to First Data Request #1-7, was provided to me by the following individual: Trudy H. Morris, Project Manager II, Project Portfolio Manager, and was provided to Sierra Club under my supervision.

Heather Shirley Smith  
Deputy General Counsel  
Duke Energy Carolinas, LLC

**DUKE ENERGY CAROLINAS**

**Request:**

- 1-7 Please identify costs incurred to date by the Company in connection with the addition of dry FGD blowdown handling systems at its coal-fired coal plants.

**Response:**

Please see the attached responsive document

- DEC Sierra Club FGD-WWT costs.xlsx



DEC Sierra Club 1-7  
FGD-WWT Costs.xlsx

**Duke Energy Carolinas**  
**Sierra Club request 1-7**  
**FGD - WWT Costs**

<b>Site</b>	<b>Project Cost at 1/31/19</b>	<b>Notes</b>
Allen	\$ 6,735,585	
Belews Creek	\$ 9,028,868	
Marshall	\$ 71,990,114	

## **Exhibit 5**

### **DEC Response to Sierra Club Data Request 1-9**

**Duke Energy Carolinas' Response to  
Sierra Club's First Set of Data Request  
Pursuant to S.C. Code Ann. § 58-4-55  
Docket No. 2018-319-E  
Related to Coal Ash  
Date of Request: February 11, 2019  
Date Response Due: February 21, 2019**

☐ CONFIDENTIAL

☒ NOT CONFIDENTIAL

The attached response to First Data Request #1-9, was provided to me by the following individual: Trudy H. Morris, Project Manager II, Project Portfolio Manager, and was provided to Sierra Club under my supervision.

Heather Shirley Smith  
Deputy General Counsel  
Duke Energy Carolinas, LLC

**DUKE ENERGY CAROLINAS**

**Request:**

1-9 Please identify costs incurred to date by the Company in connection with the addition of new waste water treatment systems or upgrades at existing systems at its coal-fired plants.

**Response:**

Cliffside WWT costs as of 1/31/19 are \$51,937,197.